

M2051.000100-001

UNDERSTANDING INTERNATIONAL DEVELOPMENT COOPERATION

**Syllabus
Fall, 2023**

**International Cooperation Program
Graduate School of International Studies
Seoul National University**

Instructor: Prof. Taekyoon Kim
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Class hours: Wednesday 14:00 – 17:00
Office hours: Friday 10:00 – 12:00
TA: TBD

Outline of the Course

In this course, we will consider the concept, patterns, and problems of aid governance from an international or global perspective. International aid to developing and transition countries is one of the principal mechanisms by which policy makers and development practitioners seek to promote economic development and democratization in poor countries. South Korea is the first aid-recipient country which is able to become an emerging donor by joining the donor circle, OECD-DAC in 2010. By launching aid programs like ODA, the government and civil society are both facing the demand of aid specialists who can organize and manage Korea's foreign international and humanitarian aid to developing countries. Most jobs in development deal in some way with the management of aid. This course will present a broad overview of the difficulties, challenges and debates about effective aid delivery at both the policy and theoretical levels. We will discuss donors, recipients and aid flows, the project cycle, monitoring and evaluation, the incentives of donors and recipients, the challenges they pose to effective aid delivery, aid delivery in conflict, accountability deficit problems, and the rising security risks to aid workers.

Honor code

You are expected to be thoroughly familiar with the Honor Code, which provides guidance on when citation is required. Essentially, all quotations must be set off as such and attributed; citations should be used whenever material is derived from another source; and students who present words or ideas from another source as their own in papers or exams by failing to give proper attribution will receive a failing grade in the class, at a minimum. Please do not plagiarize your own work: work that has been prepared for a purpose other than this class may not be submitted to fulfill class requirements and doing so is a violation of the Honor Code. If you have

any questions about plagiarism or proper citation practices, please do not hesitate to ask.

Participation

Stimulating and thoughtful class discussion is a public good that is only possible if students come to class and are prepared. You are expected to attend class, to arrive on time, and to come to class prepared to engage in class discussion by doing the assigned reading, noting the key arguments, and identifying and thinking about discussion questions and raising questions of your own. You should be prepared to be called upon at random and asked to introduce a reading by outlining its main discussion points.

Grading

- Mid-term exam (30%)
- Book Review (30%)
- Weekly essays (20%)
- Presentation (20%)
- Class participation and attendance are a basic requirement that all students enrolled in this course are expected to meet.

Weekly Essays & Mid-term Examination

In order to help prepare for class discussion, for each class every week, you are required to prepare and submit one or two-paged short essay on the week's questions to the instructor. This is not summaries of the readings, but instead summaries of your own thoughts about the readings to help prepare you to engage in discussion and the mid-term examination as well. The mid-term will be conducted in a similar form of essay questions, consisting of one compulsory (50%) and two optional questions (25% per each). This essay requirement is due and turned in at the beginning of each Wednesday class. Essay questions are attached as Appendix II.

Book Review

You must review one of the following (pick the book you want to review early, and purchase it on Amazon.com or other online bookstores since many of the SNU library's copies will be on reserve). All the book reviews should be submitted to the instructor by the end of this course via emailing. The review is 3,000 words in length excluding footnotes and the bibliography. The guideline of book reviews is attached as Appendix I.

- Sen, Amartya, *Development as Freedom* (Oxford: Oxford University Press, 1999).
- Easterly, William R., *The White Man's Burden: Why the West's Efforts to Aid the Rest Have Done So Much Ill and So Little Good* (New York: Penguin Books, 2006).
- Carothers, Thomas, *Aiding Democracy Abroad: The Learning Curve* (Washington, D.C.: Carnegie Endowment for International Peace, 1999).
- Ferguson, James, *The Anti-Politics Machine: "Development," Depoliticization, and Bureaucratic Power in Lesotho* (Minneapolis: University of Minnesota Press, 1994).
- Escobar, Arturo, *Encountering Development: The Making and Unmaking of the Third World* (Princeton: Princeton University Press, 1995).
- Goldman, Michael, *Imperial Nature: The World Bank and Struggles for Social Justice in the Age of Globalization* (New Haven: Yale University Press, 2005).
- Green, Duncan, *From Poverty to Power: How Active Citizens and Effective States can Change the World* (Oxford: Oxfam International, 2008).

- Smith, Brian H., *More Than Altruism: The Politics of Private Foreign Aid* (Princeton: Princeton University Press, 1990).
- Collier, Paul, *The Bottom Billion: Why the Poorest Countries Are Failing and What Can Be Done About It* (Oxford: Oxford University Press, 2008).
- Stedman Jones, Gareth, *An End to Poverty? A Historical Debate* (New York: Columbia University Press, 2004).
- Moyo, Dambisa, *Dead Aid: Why Aid Is Not Working and How There Is A Better Way for Africa* (New York: Farrar, Straus and Giroux, 2009).
- Sachs, Jeffrey D., *The End of Poverty: Economic Possibilities for Our Time* (New York: The Penguin Press, 2005).
- Sridhar, Devi, *The Battle Against Hunger: Choice, Circumstance, and the World Bank* (Oxford: Oxford University Press, 2008).

Basic References

- Haslam, Paul A., Jessica Schafer, and Pierre Beauder, *Introduction to International Development: Approaches, Actors, and Issues* (Oxford: Oxford University Press, 2009).
- Binns, Potter and Elliott Smith, *Geographies of Development: An Introduction to Development Studies* (Essex: Pearson Education Limited, 2008).

Presentation

All students are required to make verbal presentations, at least once, on a particular essay question. You can present your own analytical points on the basis of the required and recommended readings. The number of presentations depends on how many students will be enrolled, so that the instructor will adjust it after the first week.

Individual Exceptions

In the interest of fairness to all students, no individual exceptions to the rules stated in this syllabus will be granted in principle.

Course Readings

All sessions will be conducted in the combined fashion of instructor's lecture, students' presentation, and class discussions which invite all students enrolled in this course. Both lecture and presentations will be provided by PPTs.

WEEK 1 (9/6): INTRODUCTION AND COURSE OUTLINE

Tarp, Finn, "Aid, Growth, and Development," in George Mavrotas (ed.), *Foreign Aid for Development: Issues, Challenges, and the New Agenda* (Oxford: Oxford University Press, 2010).

WEEK 2 (9/13): HISTORICAL DEVELOPMENT OF INTERNATIONAL AID

Required Readings:

Riddell, Roger C., *Does Foreign Aid Really Work?* (Oxford: Oxford University Press, 2008), ch. 2 & 3.

Haslam, Paul A., Jessica Schafer, and Pierre Beauder, *Introduction to International Development: Approaches, Actors, and Issues* (Oxford: Oxford University Press, 2009), ch. 2.

Hjertholm, Peter and Howard White, "Foreign Aid in Historical Perspective: Background and Trends," in Finn Tarp (eds.), *Foreign Aid and Development: Lessons Learnt and Directions for the Future* (London: Routledge, 2000).

Recommended Readings:

Stedman Jones, Gareth, *An End to Poverty? A Historical Debate* (New York: Columbia University Press, 2004).

Thorbecke, Erik, "The Evolution of the Development Doctrine and the Role of Foreign Aid, 1950-2000," in Finn Tarp (eds.), *Foreign Aid and Development: Lessons Learnt and Directions for the Future* (London: Routledge, 2000).

WEEK 3 (9/20): THEORIES OF INTERNATIONAL DEVELOPMENT

Required Readings:

Rapley, John, *Understanding Development: Theory and Practice in the Third World* (Boulder: Lynne Rienner, 2002), ch. 1 & 5.

Binns, Potter and Elliott Smith, *Geographies of Development: An Introduction to Development Studies* (Essex: Pearson Education Limited, 2008), ch. 3.

Meyer, John W., John Boli-Bennett, and Christopher Chase-Dunn, "Convergence and Divergence in Development," *Annual Review of Sociology* 1, 1975.

Recommended Readings:

Burnside, Craig and David Dollar, "Aid, Policies, and Growth," *American Economic Review* 90(4), 2000.

Wheeler, Nicholas J., *Saving Strangers: Humanitarian Intervention in International Society* (Oxford: Oxford University Press, 2000), ch. 1.

Meier, Gerald M. and Dudley Seers (eds.), *Pioneers in Development* (New York: Oxford University Press, 1984).

WEEK 4 (9/27): GLOBALIZATION, INEQUALITY AND THE EMERGING GLOBAL GOVERNANCE

Required Readings:

Haslam, Schafer, and Beauder, *Introduction to International Development*, ch. 6.
Seligson, Mitchell A. and John T. Passe-Smith (eds.), *Development and Under-development: The Political Economy of Global Inequality* (Boulder: Lynne Rienner, 2008), ch. 9.
Hurrell, Andrew, "Global Inequality and International Institutions," *Metaphilosophy* 32(1), 2001.
Deacon, Bob, *Global Social Policy and Governance* (London: Sage, 2007), ch. 7.

Recommended Readings:

Clemens, Michael A., Charles J. Kenny, and Todd J. Moss, "The Trouble with the MDGs: Confronting Expectations of Aid and Development Success," *Center for Global Development Working Paper* 40, 2004.
Sachs, Jeffrey, "The Development Challenge," *Foreign Affairs* 84(2), 2005.

WEEK 5 (10/4): GLOBAL ACCOUNTABILITY FOR DEVELOPMENT

Required Readings:

Keohane, Robert, "Global Governance and Democratic Accountability," in David Held and Mathias Koenig-Archibugi (eds.), *Taming Globalization: Frontiers of Governance* (Cambridge: Polity Press, 2003).
Alnoor Ebrahim and Edward Weisband (eds.), *Global Accountabilities: Participation, Pluralism, and Public Ethics* (Cambridge: Cambridge University Press, 2007), ch. 1.
Grant, Ruth and Robert Keohane, "Accountability and Abuses of Power in World Politics," *American Political Science Review* 99(1), 2005.
Charnovitz, Steve, "Accountability of Non-Governmental Organizations in Global Governance," in Lisa Jordan and Peter Van Tuijl (eds.), *NGO Accountability: Politics, Principles and Innovations* (London: Earthscan, 2007).

Recommended Readings:

De Renzio, Paolo, "Aid, Budgets and Accountability: A Survey Article," *Development Policy Review* 24(6), 2006.
Shihata, Ibrahim F. I., *The World Bank Inspection Panel: In Practice* (Oxford: Oxford University Press, 2000).
Kim, Taekyoon, "Contradictions of Global Accountability: The World Bank, Development NGOs, and Global Social Accountability," *Journal of International and Area Studies* 18(2), 2011.

WEEK 6 (10/11): THE AID INDUSTRY: ACTORS & AID FLOWS

Required Readings:

- Riddell, *Does Foreign Aid Really Work?*, ch. 4 & 5.
- Reinikka, Ritva, "Donors and Service Delivery" in William Easterly (ed.), *Reinventing Foreign Aid* (Cambridge: MIT Press, 2008).
- Smith, Brian H., "Non-governmental Organisations in International Development: Trends and Future Research Priorities," *Voluntas* 4(3), 1993.
- Lewis, David, "Development NGOs and the Challenge of Partnership: Changing Relations between North and South," *Social Policy & Administration* 32(5), 1998.

Recommended Readings:

- Woods, Ngaire, "Whose Aid? Whose Influence? China, Emerging Donors and the Silent Revolution in Development Assistance," *International Affairs* 84(6), 2008.
- Easterly, William and Tobias Pfütze, "Where Does the Money Go? Best and Worst Practices in Foreign Aid," *Journal of Economic Perspectives* 22(2), 2008.
- Bano, Masooda, "Dangerous Correlations: Aid's Impact on NGOs' Performance and Ability to Mobilize Members in Pakistan," *World Development* 36(11), 2008.
- Smith, Daniel, *A Culture of Corruption: Everyday Deception and Popular Discount in Nigeria* (Princeton: Princeton University Press, 2007), ch. 3.

WEEK 7 (10/18): AID & CONDITIONALITY

Required Readings:

- Riddell, *Does Foreign Aid Really Work?*, ch. 14.
- World Bank, *Assessing Aid: What Works, What Doesn't, and Why* (New York: Oxford University Press, 1998), ch. 3.
- Collier, Paul, Patrick Guillaumont, Sylviane Guillaumont, and Jan Willem Gunning, "Redesigning Conditionality," *World Development* 25(9), 1997.
- Kellick, Tony, Ramani Gunatilaka, and Ana Marr, *Aid and the Political Economy of Policy Change* (London: ODI & Routledge, 1998), ch. 1, 2.

Recommended Readings:

- World Bank, *Conditionality in Development Policy Lending* (Washington, D. C.: World Bank, 2007) (<http://siteresources.worldbank.org/PROJECTS/Resources/40940-1114615847489/Conditionalityfinalreport120407.pdf>).
- Adam, Christopher, Gérard Chambas, Patrick Guillaumont, Sylviane Guillaumont Jeanneney, "Performance-based Conditionality: A European Perspective," *World Development* 32(6), 2003.

WEEK 8 (10/25): MID-TERM EXAMINATION

WEEK 9 (11/1): AID MODALITY & EVALUATION

Required Readings:

- De Haan, Arjan, *How the Aid Industry Works: An Introduction to International Development* (Sterling: Kumarian Press, 2009), ch. 4.

Degnbol-Martinussen, John and Poul Engberg-Pedersen, *Aid: Understanding International Development Cooperation* (London: Zed Books, 2003), ch. 11.

Mavrotas, George (ed.), *Foreign Aid for Development: Issues, Challenges, and the New Agenda* (Oxford: Oxford University Press, 2010), ch. 10.

Clemens, Paul, Thomaz Chiana and Ryoh Sasaki, "Reducing World Poverty by Improving Evaluation of Development Aid," *American Journal of Evaluation* 29(2), 2008.

Recommended Readings:

World Bank, *Impact Evaluation: The Experience of the Independent Evaluation Group of the World Bank* (Washington, D. C.: World Bank, 2006)

([http://lnweb90.worldbank.org/oed/oeddoclib.nsf/24cc3bb1f94ae11c85256808006a0046/35bc420995bf58f885257e00068c6bc/\\$FILE/impact_evaluation.pdf](http://lnweb90.worldbank.org/oed/oeddoclib.nsf/24cc3bb1f94ae11c85256808006a0046/35bc420995bf58f885257e00068c6bc/$FILE/impact_evaluation.pdf)).

WEEK 10 (11/8): AID FOR PROMOTING DEMOCRACY

Required Readings:

Carothers, Thomas, *Aiding Democracy Abroad: The Learning Curve* (Washington, D.C.: Carnegie Endowment for International Peace, 1999), ch. 2 & 5.

Haslam, Schafer, and Beauder, *Introduction to International Development*, ch. 16.

Recommended Readings:

Bebbington, Anthony and Roger Riddell, "The Direct Funding of Southern NGOs by Donors: New Agendas and Old Problems," *Journal of International Development* 7(6), 1995.

Carothers, Thomas, "The Elusive Synthesis," *Journal of Democracy* 21(4), 2010.

WEEK 11 (11/15): CROSSCUTTING ISSUES: POVERTY, GENDER, CLIMATE CHANGE, EDUCATION

Required Readings:

Haslam, Schafer, and Beauder, *Introduction to International Development*, ch. 5, 13, 17, 20.

Colding, Bjorg and Per Pinstrup-Andersen, "Food Aid as an Aid Instrument: Past, Present and Future," in Finn Tarp (eds.), *Foreign Aid and Development: Lessons Learnt and Directions for the Future* (London: Routledge, 2000).

Recommended Readings:

Barrett, Christopher and Daniel Maxwell, *Food Aid after Fifty Years: Recasting its Role* (New York: Routledge, 2005), ch. 1 & 7.

Drèze, Jean and Amartya Sen, *Hunger and Public Action* (Oxford: Oxford University Press, 1989), ch. 1 & 5.

Kim, Taekyoon, "Strategizing Aid: US-China Food Aid Relations to North Korea in the 1990s," *International Relations of the Asia-Pacific* 12(1), 2012.

WEEK 12 (11/22): CONFLICT, DEVELOPMENT & HUMANITARIAN DILEMMA

Required Readings:

- Haslam, Schafer, and Beauder, *Introduction to International Development*, ch. 21.
- Duffield, Mark, *Global Governance and the New Wars: The Merging of Development and Security* (London: Zed Books, 2001), ch. 2.
- Mavrotas (ed.), *Foreign Aid for Development*, ch. 7.
- Slim, Hugo, "With or Against? Humanitarian Agencies and Coalition Counter-insurgency," *Refugee Survey Quarterly* 23(4), 2004.

Recommended Readings:

- Danieli, Yael (ed.), *Sharing the Front Line and the Back Hills: Peacekeepers, Humanitarian Aid Workers and the Media in the Midst of Crisis* (Amityville: Baywood Publishing Co., 2002).

WEEK 13 (11/29): ALTERNATIVE STRATEGIES FOR THE SUSTAINABLE DEVELOPMENT GOALS ERA**Required Readings:**

- United Nations, *Transforming Our World: The 2030 Agenda for Sustainable Development*, September 2015.
<https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable%20Development%20web.pdf>
- Peter Utting, "Introduction: The Challenge of Scaling Up Social and Solidarity Economy," in Peter Utting (ed.), *Social and Solidarity Economy Beyond the Fringe* (London: Zed Books, 2015).
- Foster, Mick, "New Approaches to Development Cooperation: What can we learn with experience from implementing Sector Wide Approaches?", Overseas Development Institute (http://www.odi.org.uk/publications/working_papers/wp140.pdf).
- Grindle, Merilee S., "Good Enough Governance Revisited," *Development Policy Review* 25(5), 2007.

Recommended Readings:

- Buiter, Willem H., "Country Ownership: A term whose time has gone," in Stefan Koeberle, Harold Bedoya, Peter Silarszky, and Gero Veriheyen (eds.), *Conditionality Revisited: Concepts, Experiences, and Lessons* (Washington, D. C.: World Bank, 2005).

WEEK 14 (12/6): SOUTH-SOUTH COOPERATION & TRIANGULAR COOPERATION**Required Readings:**

- Kevin Gray and Barry Gills, "South-South Cooperation and the Rise of Global South," *Third World Quarterly* 37(4), 2015.
- OECD, *Triangular Cooperation: What's the Literature Telling Us?* (Paris: OECD, 2013).
<http://www.oecd.org/dac/dac-global-relations/OECD%20Triangular%20Co-operation%20Literature%20Review%20June%202013.pdf>
- Taekyoon Kim and Sojin Lim, "Forging Soft Accountability in Unlikely Settings: A Conceptual Analysis of Mutual Accountability in the Context of South-South Cooperation," *Global Governance* 23(2), 2017.
- Padraig Carmody, *The Rise of the BRICS in Africa: The Geopolitics of South-South Relations* (London: Zed Books, 2013), Ch. 1.

Recommended Readings:

South Commission, *The Challenge to the South: The Report of the South Commission* (Oxford: Oxford University Press, 1990), Ch. 1.

Talita Yamashiro Fordelone, *Triangular Cooperation and Aid Effectiveness: Can Triangular Cooperation Make Aid Effective?* (Paris: OECD, 2009).

<http://www.oecd.org/dac/46387212.pdf>

WEEK 15 (12/13): Wrap-up & Discussion

GUIDELINE OF THE BOOK REVIEW PAPER

The book review assignment for this course needs to address PRACTITIONERS', POLICYMAKERS' AND DONORS' interests in and their right approaches to development projects "*on the ground*" in each book you choose. Just please assume that you are one of top decision makers in the World Bank, Oxfam, USAID, KOICA, or any other aid-giving international actors, and describe/analyze what messages the book tries to deliver from that standpoint. The review paper is intended to seek some implications and lessons for policymakers of aid donors from your books.

In this sense, the paper is designed to develop your skills for "real world" development work, with the special emphasis on not only the importance of ownership of aid recipients but also a realistic development strategy for donors. To develop these skills, one needs to get a grip on the four followings in your book:

- (i) The problems that aid donors faced and overcame or failed to overcome;
- (ii) The difficulties that aid donors experienced in terms of aid effectiveness;
- (iii) How aid donors could change or could not change political and social environments of hostility in recipient countries;
- (iv) How you are able to employ the concepts you learned from this course, primarily ACCOUNTABILITY, FUNGIBILITY, CONDITIONALITY, and so on.

On the formalities of the paper submission:

- Write a memo of no more than **500 words** outlining your paper in a broad context by **November 6, 2018**. Everyone is expected to present your outlines for 10 minutes in class, and will get some feedback from the colleagues and instructor as well.
- The minimum length of the paper is **3,000 words**, but it can be expanded if you like. Thus, there are no limits of its maximum.
- Please submit yours **no later than December 20, 2023**. A high value of the paper evaluation will be placed on punctuality.

ESSAY QUESTIONS

All students should submit the instructor one-page short essay answering each given question before every Monday session starts. It should be written in a single-spaced and 12 font-size form. If necessary, students can extend the length of essays to two pages. Plagiarism or the violation of honor codes will be strictly banned and punished with proper treatment.

Essay deadline	Questions
Week 2	<i>Historical Development of International Aid</i> “The history of international aid is a logical outgrowth of wealthy countries’ strategic architecture controlling global capitalism.” Discuss.
Week 3	<i>Theories of International Development</i> Do you agree that all the patterns of economic development in different societies can be convergent on a particular way of theorizing?
Week 4	<i>Globalization, Inequality and the Emergence of Global Governance</i> Is it true that globalization should be regarded as a negative impact on global governance which is aimed to reduce inequality in a global world?
Week 5	<i>Global Accountability for Development</i> What makes development aid more accountable to the poor who are badly affected by aid disbursements?
Week 6	<i>The Aid Industry: Actors & Aid Flows</i> Which way between bilateral and multilateral aid is more likely to be effective in promoting aid flow?
Week 7	<i>Aid Conditionality</i> Is it justifiable to impose adjustment conditionality on foreign aid to the Third World?
Week 9	<i>Aid Modality & Evaluation</i> Why is the evaluation of development aid important in enhancing its accountability?

- Week 10 ***Aid for Promoting Democracy***
Is it fair to state that aid should be delivered to the poverty-stricken countries whose political regimes are democratic or who are ready to accept democracy?
- Week 11 ***Crosscutting Issues: Poverty, Gender, Climate Change, Education***
Do you think that crosscutting issues for development play a significant role in achieving the improvement of human dignities or they make aid relations more complicated?
- Week 12 ***Conflict, Development & Humanitarian Dilemma***
Taking a developing country today that you are familiar with, do you see any parallel with the role of conflict in its development? What accounts for similarities and differences?
- Week 13 ***Alternative Strategies for the Post-2015 Development Agenda***
Which agenda should be included as new post-2015 development agendas? How can the international community achieve those new agendas for the post-2015 era?