**Economic Development and Democratization in East Asia: Democracy and Democratic Transition**

**Spring 2016 / Young Nam Cho (趙英男)**

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# Course Description

This seminar explores the political development, especially democratization, in East Asia. In the first part of the course, main theories of democracy and democratization, such as Samuel Huntington and Larry Diamond, will be examined. The second part will analyze case studies of political development and democratization in East Asian countries, including South Korea, Taiwan, and China. This class is designed for students who have not majored in political science but wish to understand democracy and democratization in East Asia. Each student is expected to complete weekly readings, participate in presentation (in most cases summary of readings) and class discussion, and take midterm and term examinations. **Korean will be the main medium of communications for this course.**

# Evaluation

- Weekly report, class presentation and discussion participation (30%)

- Take-home examinations (midterm and term) (70%)

**1. Introduction**

**Part One: General Theories of Democracy and Democratization**

**2. What is Democracy?**

\* Required readings

\*Georg Sørensen, *Democracy and Democratization: Progresses and Prospects in a Changing World* (Third Edition) (Boulder, Colorado: Westview Press, 2008), Chapter 1, pp. 3-27

\*Larry Diamond, *Developing Democracy: Toward Consolidation* (Baltimore and London: The Johns Hopkins University Press, 1999), Chapter 1, pp. 1-19

Robert A. Dahl, *On Democracy* (New Haven and London: Yale University Press, 1998)

David Held, *Models of Democracy* (Cambridge: Polity Press, 1987)

Giovanni Sartori, *The Theory of Democracy Revisited I∙II* (Chatham, New Jersey: Chatham House Publishers, 1987)

**3. What is Democratization?**

\*David Potter, David Goldblatt, Margaret Kiloh, and Paul Levis (eds.), *Democratization* (Cambridge: Polity Press, 1997), Chapter 1, pp. 1-40

\*Georg Sørensen, *Democracy and Democratization: Progresses and Prospects in a Changing World* (Third Edition) (Boulder, Colorado: Westview Press, 2008), Chapter 2, pp. 29-54

Guillermo O’Donnell and Philippe C. Schmitter, *Transition from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies* (Baltimore and London: The Johns Hopkins University Press, 1986)

Guillermo O’Donnell, Philippe C. Schmitter, and Laurence Whitehead (eds.), *Transition from Authoritarian Rule: Comparative Perspectives* (Baltimore and London: The Johns Hopkins University Press, 1986)

Adam Przeworski, *Democracy and The Market: Political and Economic Reforms in Eastern Europe and Latin America* (Cambridge: Cambridge University Press, 1991)

**4. The “Third Wave” of Democratization I: What and Why?**

\*Samuel P. Huntington, *The Third Wave:* *Democratization in the Late Twentieth Century* (Normand and London: University of Oklahoma Press, 1991), Chapters 1 and 2, pp. 3-108

Renske Doorenspleet, “Reassessing the Three Waves of Democratization,” *World Politics*, Vol. 52, No. 3 (April 2000), pp. 384-406

Larry Diamond, *The Spirit of Democracy: The Struggle to Build Free Societies Through the World* (New York: Times Book, 2008), Chapters 4 to 6, pp. 88-152

Doh Chull Shin, “On the Third Wave of Democratization: A Synthesis and Evaluation of Recent Theory and Research,” *World Politics*. 47 (October 1994), pp. 135-170

Valerie Bunce, “Comparative Democratization: Big and Bounced Generalizations,” *Comparative Political Studies*, Vol. 33, No. 6/7 (August/September 2000), pp. 703-734

Barbara Geddes, “What Do We Know about Democratization after Twenty Years?” *Annual Review of Political Science*, Vol. 12, No. 1 (June 1999), pp. 115-144

Michael McFaul, “The Fourth Wave of Democracy *and* Dictatorship: Noncooperative Transitions in the Postcommunist World,” *World Politics*, Vol. 54, No. 2 (January 2002), pp. 212-244

**5. The “Third Wave” of Democratization II: How?**

\*Samuel P. Huntington, *The Third Wave:* *Democratization in the Late Twentieth Century* (Normand and London: University of Oklahoma Press, 1991), Chapters 3 and 4, pp. 109-207

Larry Diamond, *Developing Democracy: Toward Consolidation* (Baltimore and London: The Johns Hopkins University Press, 1999)

Larry Diamond, Marc F. Plattner, Yun-han Chu, and Hung-mao Tien (eds.), *Consolidating the Third Wave Democracies: Themes and Perspectives* (Baltimore and London: The Johns Hopkins University Press, 1997)

Larry Diamond, Marc F. Plattner, Yun-han Chu, and Hung-mao Tien (eds.), *Consolidating the Third Wave Democracies: Regional Challenges* (Baltimore and London: The Johns Hopkins University Press, 1997)

**6. The Reversal of the “Third Wave” Democratization**

\*Georg Sørensen, *Democracy and Democratization: Progresses and Prospects in a Changing World* (Third Edition) (Boulder, Colorado: Westview Press, 2008), Chapter 3, pp. 55-78

\*Larry Diamond, *The Spirit of Democracy: The Struggle to Build Free Societies Through the World* (New York: Times Book, 2008), Chapter 3, pp. 56-87

Larry Diamond, *Developing Democracy: Toward Consolidation* (Baltimore and London: The Johns Hopkins University Press, 1999), Chapter 2, pp. 24-63

**7. Discussions on the Democratic Recession**

\*Fareed Zakaria, “The Rise of Illiberal Democracy,” *Foreign Affairs*, Vol. 76, No. 6 (November/December 1997), pp. 22-43

\*Thomas Carothers, “The Rule of Law Revival,” *Foreign Affairs*, Vol. 77, No. 2 (March/April 1998), pp. 95-106

\*Thomas Carothers, “The End of the Transition Paradigm,” *Journal of Democracy*, Vol. 13, No. 1 (January 2002), p. 5-21

Marc F. Plattner, *Democracy without Borders?: Global Challenges to Liberal Demo*cracy (Lanham, Maryland: Rowman & Littlefield Publishers, 2008), Chapter 4, pp. 47-57

Thomas Carothers, “The ‘Sequencing’ Fallacy,” *Journal of Democracy*, Vol. 18, No. 1 (January 2007), p. 12-26

**8. Midterm Take-Home Examination**

**Part Two: Democratization in East Asia**

**9. Democratization and Democracy in East Asia**

\*Minxin Pei, “The Fall and Rise of Democracy in East Asia,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 57-78

\*Chong-Min Park and Yu-tzung Chang, "Regime Performance and Democratic Legitimacy," in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 48-71

 \*Mark R. Thompson, “Whatever Happened to ‘Asian Values’?” *Journal of Democracy*, Vol. 12, No. 4 (October 2001), pp. 154-165

Yun-han Chu, Larry Diamond, Anthrew J. Nathan, and Doh Chull Shin, “Introduction: Comparative Perspectives on Democratic Legitimacy in East Asia,” in Yun-han Chu, Larry Diamond, Anthrew J. Nathan, and Doh Chull Shin (eds.), *How East Asians View Democracy* (New York: Columbia University Press, 2008), pp. 1-38

Aurel Croissant, “From Transition to Defective Democracy: Mapping Asian Democratization,” *Democratization*, Vol. 11, No. 5 (December 2004), pp. 15-178

Chu Yun-han, “Third-Wave Democratization in East Asia: Challenges and Prospect,” *ASIEN*, No. 100 (Juli 2006), pp. 11-17

Yun-han Chu, Larry Diamond, Anthrew J. Nathan, and Doh Chull Shin, “Asia’s Challenged Democracies,” *Washington Quarterly*, Vol. 30, No. 1 (January 2009), pp. 143-157

Doh Chull Shin and Jason Wells, “Is Democracy the Only Game in Town?” *Journal of Democracy*, Vol. 16, No. 2 (April 2005), pp. 88-101

**<Debates on Asian Values>**

Margaret Ng, “Why Asia Needs Democracy: A View from Hong Kong,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 3-16

Bilahari Kausikan, “The ‘Asian Values’ Debates: A View from Singapore,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 17-27

Francis Fukuyama, “The Illusion of ‘Asian Exceptionalism’,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 224-227

Larry Diamond, *The Spirit of Democracy: The Struggle to Build Free Societies Through the World* (New York: Times Book, 2008), Chapter 10, pp. 208-237

Mark Robinson and Gordon White (eds.), *The Democratic Developmental State: Political and Institutional Design* (Oxford: Oxford University Press, 1998)

Zhengxu Wang, *Democratization in Confucian East Asia: Citizen Politics in China, Japan, Singapore, South Korea, Taiwan, and Vietnam* (Youngstown, New York: Cambria Press, 2008)

Samantha F. Ravich, *Marketization and Democracy: East Asian Experiences* (Cambridge: Cambridge University Press, 2000)

Larry Diamond, Juan J. Linz, and Seymour Martin Lipset (eds.), *Democracy in Developing Countries: Asia* (Boulder, Colorado: Lynne Rienner Publishers, 1989)

Lucian W. Pye, *Asian Power and Politics: The Cultural Dimensions of Authority* (Cambridge, Massachusetts: Harvard University Press, 1985)

**10. Democratization in South Korea**

\*Larry Diamond and Doh Chull Shin, “Introduction: Institutional Reform and Democratic Consolidation in Korea,” in Larry Diamond and Doh Chull Shin (eds.), *Institutional Reform and Democratic Consolidation in Korea* (Stanford, California: Hoover Institution Press, 2000), pp. 1-41

\*Hyug-Baeg Im, "Development and Change in Korean Democracy since the Democratic Transition in 1987: The Three Kim's Politics and After," in Yin-wah Chu and Siu-lun Wong (eds.), *East Asia's New Democracies: Deepening, Reversal, Non-Liberal Alternatives* (London and New York: Routledge, 2010), pp. 102-121

\*Sunhyuk Kim, “Civil Society in Democratizing Korea,” in Samuel S. Kim (ed.), *Korea’s Democratization* (Cambridge: Cambridge University Press, 2003), pp. 81-106

Doh Chull Shin and Chong-Min Park, “The Mass Public and Democratic Politics in South Korea: Exploring the Subjective World of Democratization in Flux,” in Yun-han Chu, Larry Diamond, Anthrew J. Nathan, and Doh Chull Shin (eds.), *How East Asians View Democracy* (New York: Columbia University Press, 2008), pp. 39-60

Doh C. Shin, *Mass Politics and Culture in Democratizing Korea* (Cambridge: Cambridge University Press, 1999)

Kim Sunhyuk, *The Politics of Democratization in Korea: The Role of Civil Society* (Pittsburgh: University of Pittsburgh Press, 2000)

Larry Diamond and Byung-kook Kim (eds.), *Consolidating Democracy in South Korea* (Boulder, Colorado: Lynne Rienner Publishers, 2000)

Hahm Chaihark and Sung Ho Kim, “Constitutionalism on Trial in South Korea,” *Journal of Democracy*, Vol. 16, No. 2 (April 2005), pp. 28-42

**11. Democratization in Taiwan**

\*Hung-mao Tien, “Taiwan’s Transformation,” in Larry Diamond, Marc F. Plattner, Yun-han Chu, and Hung-mao Tien (eds.), *Consolidating the Third Wave Democracies: Regional Challenges* (Baltimore and London: The Johns Hopkins University Press, 1997), pp. 123-161

\*John F. Copper, “The Devolution of Taiwan’s Democracy during the Chen Shui-bian Era,” *Journal of Contemporary China*, Vol. 18, No. 60 (June 2009), pp. 463-478

\*Hsin-huang Michael Hsiao and Ming-sho Ho, 'Civil Society and Democracy-Making in Taiwan: Reexamining the Link," in Yin-wah Chu and Siu-lun Wong (eds.), *East Asia's New Democracies: Deepening, Reversal, Non-Liberal Alternatives* (London and New York: Routledge, 2010), pp. 43-64

Yun-han Chu, “Taiwan’s Year of Stress,” *Journal of Democracy*, Vol. 16, No. 2 (April 2005), pp. 43-57

Tun-Jen Cheng, “Democratizing the Quasi-Leninist Regime in Taiwan,” *World Politics*, Vol. 41, No. 4 (July 1989), pp. 471-499

Yun-han Chu, “Taiwan’s Unique Challenges,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 133-146

Philip Paolino and James Meernik (eds.), *Democratization in Taiwan: Challenges in Transformation* (Ashgate, 2008)

Linda Chao and Ramon H. Myers, *The First Chinese Democracy: Political Life in the Republic of China on Taiwan* (Baltimore and London: The Johns Hopkins University Press, 1998)

Shelley Rigger, *Politics in Taiwan: Voting for Democracy* (London and New York: Routledge, 1999)

Hung-mao Tien and Yun-han Chu, “Building Democracy in Taiwan,” *China Quarterly*, No. 165 (December 1996), pp. 1141-1170

J. Bruce Jacobs, “Taiwan and South Korea: Comparing East Asia’s Two ‘Third-Wave’ Democracies,” *Issues & Studies*, Vol. 43, No. 4 (December 2007), pp. 227-260

David D. Yang, “Classing Ethnicity: Class, Ethnicity, and the Mass Politics of Taiwan’s Democratic Transition,” *World Politics*, Vol. 59, No. 4 (July 2007), pp. 503-538

Joseph Wong, “Deepening Democracy in Taiwan,” *Pacific Affairs*, Vol. 76, No. 2 (Summer 2003), pp. 235-256

Linda Chao and Ramon H. Myers, “How Elections Promoted Democracy in Taiwan under Martial Law,” in Larry Diamond and Ramon H. Myers (eds.), *Elections and Democracy in Greater China* (Oxford: Oxford University Press, 2001), pp. 23-45

Yun-han Chu and Jih-wen Lin, “Political Development in 20th-Century Taiwan: State-Building, Regime Transformation and the Construction of National Identity,” *China Quarterly*, No. 165 (March 2001), pp. 102-129

**12. Democratization in Thailand and the Philippines**

\*Kitti Prasirtsuk, “From Political Reform and Economic Crisis to Coup D’etat in Thailand, *Asian Survey*, Vol. 47, No. 6 (December 2007), pp. 872-893.

\*Thitinan Ponsgsudhirak, "Thailand's Uneasy Passage," in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 168-182

\*Mark R. Thompson, “Off the Endangered List: Philippine Democratization in Comparative Perspective,” *Comparative Politics*, Vol. 28, No. 2 (January 1996), pp. 179-205

\*Mark R. Thompson, "Reviving Reformism in the Philippines," in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 150-167

Chai-Anan Samudavanijia and Parichart Chotiya, “Beyond Transition in Thailand,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 147-167

Pasuk Phongpaichit and Christ Baker, “‘Business Populism’ in Thailand,” *Journal of Democracy*, Vol. 16, No. 2 (April 2005), pp. 58-72

Thitinan Pongsudhirak, “Thailand since the Coup,” *Journal of Democracy*, Vol. 19, No. 4 (October 2008), pp. 140-153

Steven Rogers, “Philippine Politics and the Rule of Law,” *Journal of Democracy*, Vol. 15, No. 4 (October 2004), pp. 111-125

Paul D. Hutchcroft, “The Arroyo Imbroglio in the Philippines,” *Journal of Democracy*, Vol. 19, No. 1 (January 2008), pp. 141-155

Carl H. Lande, “The Return of ‘People Power’ in the Philippines,” *Journal of Democracy*, Vol. 12, No. 2 (April 2001), pp. 88-102

**13. Democratization in Indonesia, Malaysia, and Singapore**

\*Douglas Webber, “A Consolidated Patrimonial Democracy? Democratization in Post-Suharto Indonesia,” *Democratization*, Vol. 13, No. 3 (June 2006), pp. 396-420

\*Edward Aspinall, 'The Irony of Success in Indonesia," in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 133-149

\*Gordon P. Means, “Soft Authoritarianism in Malaysia and Singapore,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 96-110

\*Dan Slater, "Strong-State Democratization in Malaysia and Singapore," in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 183-197

R. William Liddle, “Indonesia: Suharto’s Tightening Grip,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 199-214

Muhammad Qodari, “Indonesia’s Quest for Accountable Governance,” *Journal of Democracy*, Vol. 16, No. 2 (April 2005), pp. 73-87

William Case, “Malaysia’s Resilient Pseudodemocracy,” *Journal of Democracy*, Vol. 12, No. 1 (January 2001), pp. 43-57

Ben Thirkell-White, “Political Islam and Malaysian Democracy,” *Democratization*, Vol. 13, No. 3 (June 2006), pp. 421-441

Thomas B. Pepinsky, “Malaysia: Turnover without Change,” *Journal of Democracy*, Vol. 18, No. 1 (January 2007), pp. 113-127

**14. Democratic Reform in China, Vietnam, and Burma**

\*Young Nam Cho, “Democracy with Chinese Characteristics? A Critical Review from a Developmental State Perspective,” *Issues & Studies*, Vol. 45, No. 4 (December 2009), pp. 71-106

\*Minxin Pei, "Is CCP Rule Fragile of Resilient?" in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 75-89

\*Frederick Z. Brown, “Vietnam’s Tentative Transformation,” in Larry Diamond and Marc F. Plattner (eds.), *Democracy in East Asia* (Baltimore and London: The Johns Hopkins University Press, 1998), pp. 184-198

\*Min Zin and Brian Joseph, "Burma: The Democrats' Opportunity," in Larry Diamond, Marc F. Plattner, and Yun-han Chu (eds.), *Democracy in East Asia: A New Century* (Baltimore and London: The Johns Hopkins University Press, 2013), pp. 211-226

George J. Gilboy and Benjamin L. Read, “Political and Social Reform in China: Alive and Walking,” *Washington Quarterly*, Vol. 31, No. 3 (Summer 2008), pp. 143-164

Henry S. Rowen, “When Will the Chinese People Be Free?” *Journal of Democracy*, Vol. 18, No. 3 (July 2007), pp. 38-52.

John L. Thornton, “Long Time Coming: The Prospects for Democracy in China,” *Foreign Affairs*, Vol. 87, No. 1 (January/February 2008), pp. 2-22.

**15. Term Take-Home Examination**